



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(MBHB Case No. 00-432-A)



Application of:

Guolin Cai

Serial No.: 09/892,024

Filed: June 26, 2001

For: Aryl Fused Substituted
4-oxy-pyridines

Group Art Unit: 1625

Examiner: B. M. Robinson

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

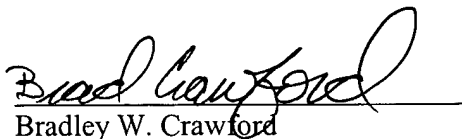
In regard to the above identified application:

1. We are transmitting herewith the attached:
 - a. Supplemental Information Disclosure Statement (IDS) (2 sheets);
 - b. Supplemental Form PTO-1449 (2 sheets);
 - c. International Search Report for International Application PCT/US 01/41146;
 - d. 16 Cited References; and
 - e. Return Receipt Postcard.
2. With respect to fees:
 - a. A check in the amount \$180.00 is enclosed for the fee.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 2, 2003.

Respectfully submitted,

Date: September 2, 2003

By:


Bradley W. Crawford

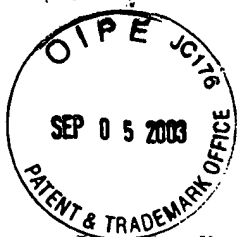
Reg. No. 50,494

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed Supplemental PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Foreign Documents

1. European Patent Publication No. EP 205375, published December 17, 1986.
2. European Patent Publication No. EP 362006, published April 4, 1990.
3. PCT Patent Publication No. WO 92/06094, published April 16, 1990.

09/05/2003 EAREGAY1 00000134 09892024

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4. PCT Patent Publication No. WO 94/02145, published February 3, 1994.
5. PCT Patent Publication No. WO 99/29319, published June 17, 1999.
6. PCT Patent Publication No. WO 99/37303, published July 29, 2003.
7. PCT Patent Publication No. WO 99/43681, published September 2, 1999.
8. PCT Patent Publication No. WO 99/43682, published September 2, 1999.
9. PCT Patent Publication No. WO 99/47131, published September 23, 1999.
10. PCT Patent Publication No. WO 99/47142, published September 23, 1999.
11. PCT Patent Publication No. WO 99/47171, published September 23, 1999.
12. PCT Patent Publication No. WO 99/65904, published December 23, 1999.

Other Documents

13. Da-Rocha et al., (1997) *Journal of Psychopharmacology* 11(3):211-218.
14. Le AD, et al., (1996) *Alcohol and Alcohol* 31(Suppl 1):27-32.
15. Smith et al., (1998) *American Journal of Psychiatry* 155(10):1339-1324.
16. White G. et al., (1995) *Neuroreport* 6(9):1313-1316.
17. International Search Report for International Application PCT/US 01/41146.

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Respectfully submitted,

Date: September 2, 2003

By:



Bradley W. Crawford

Reg. No. 50,494

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Chicago, IL 60606

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FORM PTO-1449
(Rev. 2-32)

U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.
00-432-A

Serial No.
09/892,024

**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)



Applicant:

Guolin Cai, et al.

Filing Date:

June 26, 2001

Group:

1625

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	1.	EP 205375	Dec 17, 1986	EP				
	2.	EP 362006	April 4, 1990	EP				
	3.	WO 92/06094	April 16, 1990	WO				
	4.	WO 94/02145	Feb 3, 1994	WO				
	5.	WO 99/29319	June 17, 1999	WO				
	6.	WO 99/37303	July 29, 2003	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

	13.	Da-Rocha et al., (1997) <i>Journal of Psychopharmacology</i> 11(3):211-218.
	14.	Le AD, et al., (1996) <i>Alcohol and Alcohol</i> 31(Suppl 1):27-32.
	15.	Smith et al., (1998) <i>American Journal of Psychiatry</i> 155(10):1339-1324.
	16.	White G. et al., (1995) <i>Neuroreport</i> 6(9):1313-1316.
	17.	International Search Report for International Application PCT/US 01/41146
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

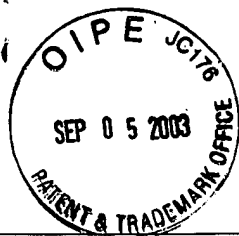
FORM PTO-1449
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
	7. WO 99/43681	Sept 2, 1999	WO				
	8. WO 99/43682	Sept 2, 1999	WO				
	9. WO 99/47131	Sept 23, 1999	WO				
	10. WO 99/47142	Sept 23, 1999	WO				
	11. WO 99/47171	Sept 23, 1999	WO				
	12. WO 99/65904	Dec 23, 1999	WO				

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